

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Bowman et al.

Group Art Unit:

Serial No.:

Examiner:

Filed:

For Method and System for Producing Hydrogen by
Reforming Hydrogen Containing Gas

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit_____
Type or Print Name_____
Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

July 9th, 2003
Date

Patrick K. Patnode

Attorney - Patrick K. Patnode

Registration No. 40,121

Phone: (518) 387-5286

or (518) 387-7122

General Electric Company
CRD Patent Department 4A59
P.O. Box 8, Bldg. K-1
Schenectady, New York 12301
Customer Number: 006147

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary)										Attorney's Docket Number 134195-1		Serial Number NYA	
										Name of Applicant Michael Bowman et al.			
										Filing Date			

U.S. PATENT DOCUMENTS													
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	3	6	5	6	9	0	5	4/18/1972	Smith et al.	23	212 R	11/2/1970
	AB	4	1	8	6	1	8	1	1/29/1980	Giammarco et al.	423	650	6/27/1978
	AC	5	1	5	4	7	3	6	10/13/1992	Mifflin	55	26	3/29/1991
	AD	5	9	0	0	0	3	1	5/4/1999	Bloomfield	48	197 R	7/15/1997
	AE	6	0	6	8	6	7	3	5/30/2000	Bloomfield	48	197 R	7/9/1998
	AF	6	1	0	3	1	4	3	8/15/2000	Sircar et al.	252	373	1/5/1999
	AG												
	AH												
	AI												
	AJ												
	AK												
	AL												

FOREIGN PATENT DOCUMENTS							
	Document Number		Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	AM						
	AN						
	AO						
	AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent)	
	AQ Zinn, Anthony N. et al., "Investigation of a Novel Reciprocating Compression Reformer for Use in Solid Oxide Fuel Cell Systems", Fuel Cell Science, Engineering and Technology, pages 403-409, 2000.
	AR
	AS

EXAMINER	DATE CONSIDERED
-----------------	------------------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.